



DOCKET NO: 216088US-8

IN THE UNITED STATES PATENT & TRADE MARK OFFICE

IN RE APPLICATION OF :

Mizuki OIKE et al.

:EXAMINER: THOMAS, BRANDI N

SERIAL NO: 09/986,876 :

FILED: November 13, 2001

:GROUP ART UNIT : 2873

FOR: WAVELENGTH LOCKER MODULE :
AND WAVELENGTH CONTROLLER FOR
OPTICAL COMMUNICATION

AMENDMENT

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

In response to the Office Action dated September 25, 2003, please amend the
above-identified application as follows.

Amendments to the claims are reflected in the listing of claims which begins
on page 2 of this paper.

Remarks begin on page 5 of this paper.

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Docket No. 216088US8

IN RE APPLICATION OF: MIZUKI OIKE ET AL

SERIAL NO: 09/986,876

November 13, 2001

FOR: WAVELENGTH LOCKER MODULE AND WAVELENGTH CONTROLLER FOR OPTICAL COMMUNICATION

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

☒ No additional fee is required

☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.

☐ Additional documents filed herewith:

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	12	MINUS	20	0	x \$18 =	\$0.00
INDEPENDENT	2	MINUS	3	0	x \$84 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

☐ A check in the amount of 0 is attached.

☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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